

**INFORMATION**

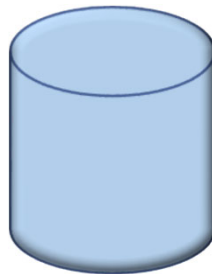
## observer and choice

- Information is defined as “a measure of the freedom from choice with which a message is *selected* from the set of all possible messages”
- Bit (short for *binary digit*) is the most elementary choice one can make
  - Between two items: “0” and “1”, “heads” or “tails”, “true” or “false”, etc.
  - Bit is equivalent to the choice between two equally likely alternatives
    - Example, if we know that a coin is to be tossed, but are unable to see it as it falls, a message telling whether the coin came up heads or tails gives us one bit of information



1 Bit of *information*

uncertainty removed,  
information gained



1 Bit of uncertainty

H,T?

choice between 2 symbols  
recognized by an observer



## Fathers of uncertainty-based information



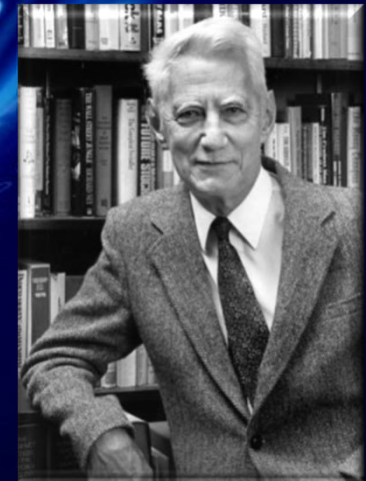
- Information is transmitted through noisy communication channels
  - Ralph Hartley and Claude Shannon (at Bell Labs), the fathers of Information Theory, worked on the problem of efficiently transmitting information; i. e. **decreasing the uncertainty** in the transmission of information.

Hartley, R.V.L., "Transmission of Information", *Bell System Technical Journal*, July 1928, p.535.

C. E. Shannon [1948], "A mathematical theory of communication". *Bell System Technical Journal*, **27**:379-423 and 623-656

C. E. Shannon, "A Symbolic analysis of relay and switching circuits" .*MS Thesis*, (unpublished) MIT, 1937.

C. E. Shannon, "An algebra for theoretical genetics." *Phd Dissertation*, MIT, 1940.



## ■ Multiplication Principle

- “If some choice can be made in M different ways, and some subsequent choice can be made in N different ways, then there are M x N different ways these choices can be made in succession” [Paulos]
  - 3 shirts and 4 pants =  $3 \times 4 = 12$  outfit choices



## ■ Nonspecificity

- Hartley measure

- The amount of uncertainty associated with a set of alternatives (e.g. messages) is measured by the **amount of information needed to remove the uncertainty**

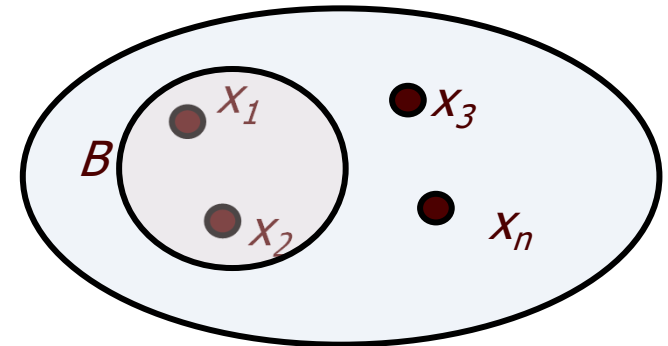
Quantifies how many yes-no questions need to be asked to establish what the correct alternative is

Elementary Choice is between 2 alternatives: 1 bit

$$H(B) = \log_2(2) = 1$$

$$\log_2(4) = 2 \quad 2^2 = 4$$

A = Set of Alternatives



$$H(A) = \log_2 |A|$$

Measured in bits

Number of Choices

$$\log_2(16) = 4$$

$$2^4 = 16$$

$$\log_2(1) = 0$$

# Hartley Uncertainty

$$H(A) = \log_2(16) = 4$$

$$H(B) = \log_2(4) = 2$$

$$H(A) = \log_2 |A|$$

Measured in bits

Number of Choices

Quantifies how many yes-no questions need to be asked to establish what the correct alternative is

## Example

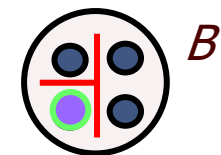
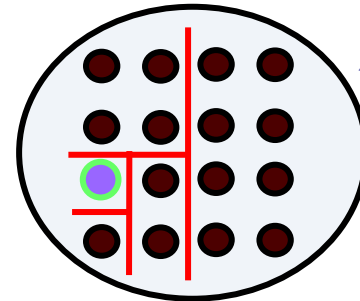
### Menu Choices

A = 16 Entrees

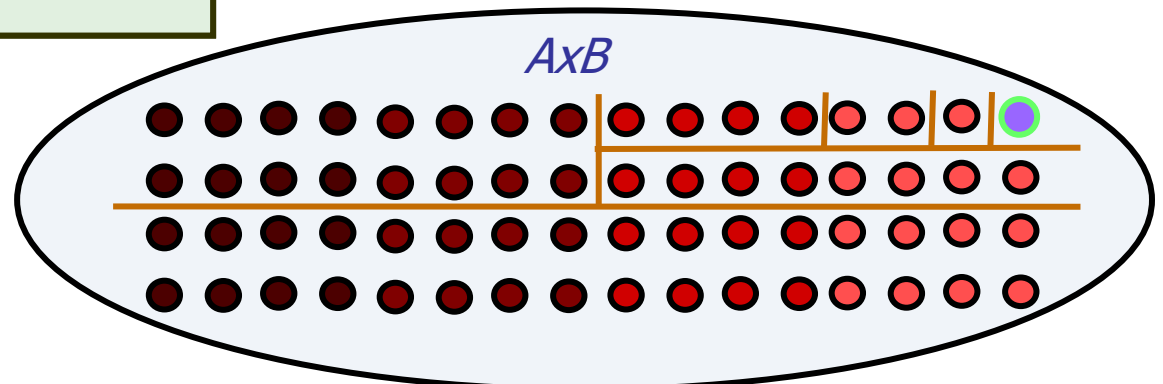
B = 4 Desserts

How many dinner combinations?

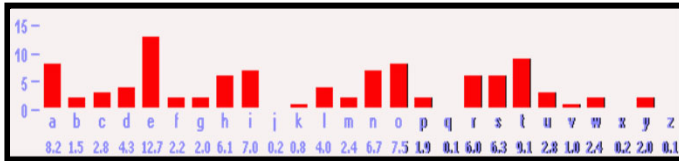
16 x 4 = 64



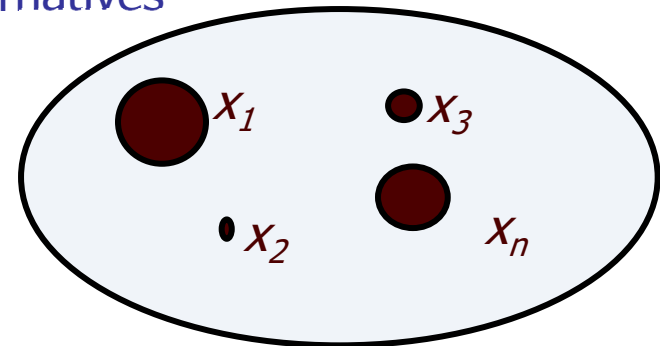
$$H(A \times B) = \log_2(16 \times 4) = \\ = \log_2(16) + \log_2(4) = 6$$



## uncertainty-based information



$A =$  Set of weighted Alternatives



## ■ Shannon's measure

- The **average** amount of uncertainty associated with a set of **weighted** alternatives (e.g. messages) is measured by the **average** amount of information needed to remove the uncertainty

$$H_S(A) = - \sum_{i=1}^n p(x_i) \log_2(p(x_i))$$

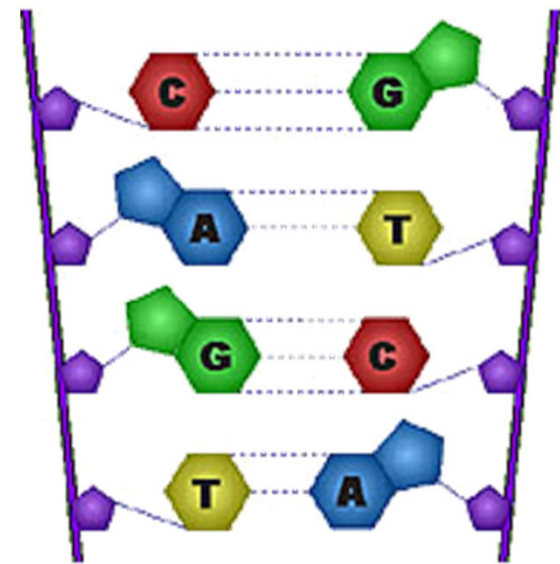
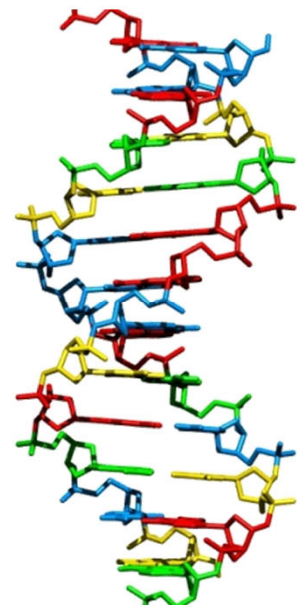
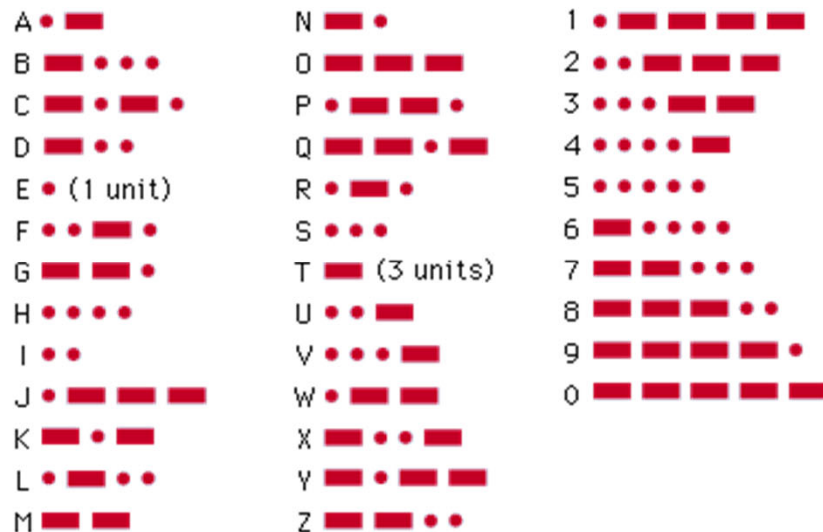
Probability of alternative  
Measured in bits



abcdefghijklmnopqrstuvwxyz  
chllñ

Message encoded in an alphabet of  $n$  symbols, for example:

- English (26 letters + space + punctuations)
- Morse code (dot, dash, space)
- DNA (A, T, G, C)





## example (5-letter) english

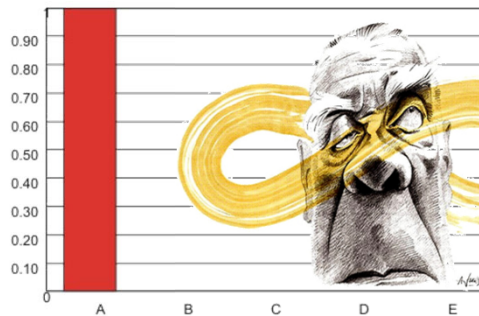
- Given a symbol set {A,B,C,D,E}
  - And occurrence probabilities  $P_A, P_B, P_C, P_D, P_E,$
- The Shannon entropy is
  - The average minimum number of bits needed to represent a symbol

$$H_S = -(p_A \log_2(p_A) + p_B \log_2(p_B) + p_C \log_2(p_C) + p_D \log_2(p_D) + p_E \log_2(p_E))$$

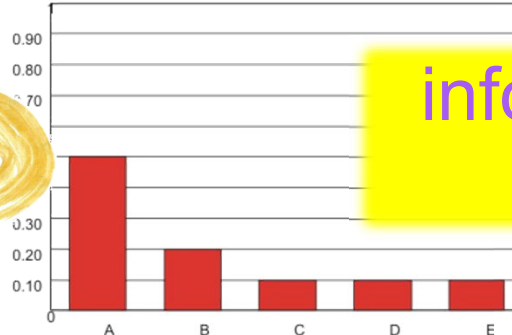
$$H_S = -(1 \cdot \log_2(1) + 0 \cdot \log_2(0) + 0 \cdot \log_2(0) + 0 \cdot \log_2(0) + 0 \cdot \log_2(0)) = -\log_2(1)$$

$$H_S = -5 \cdot \left(\frac{1}{5}\right) \cdot \log_2\left(\frac{1}{5}\right) = -(\log_2(1) - \log_2(5)) = \log_2(5)$$

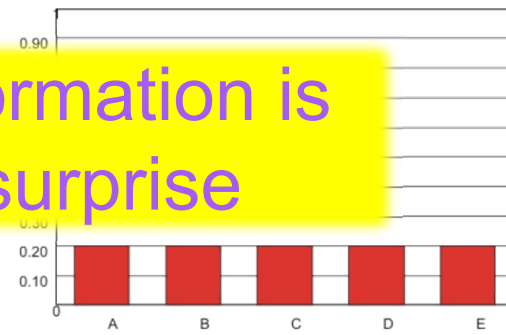
$$H_S = -\left(\frac{1}{2} \cdot \log_2\left(\frac{1}{2}\right) + \frac{1}{5} \cdot \log_2\left(\frac{1}{5}\right) + 3 \cdot \left(\frac{1}{10}\right) \cdot \log_2\left(\frac{1}{10}\right)\right)$$



$H_S = 0$  bits  
0 questions



$H_S = 1.96$   
 $\approx 2$  questions



$H_S = 2.32$  bits

information is  
surprise

## what it measures

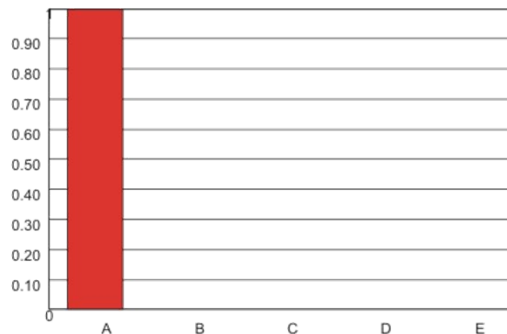
*uncertainty*, about outcome. How much information is gained when symbol is known

- **on average**, how many *yes-no* questions need to be asked to establish what the symbol is
- “structure” of uncertainty in situations

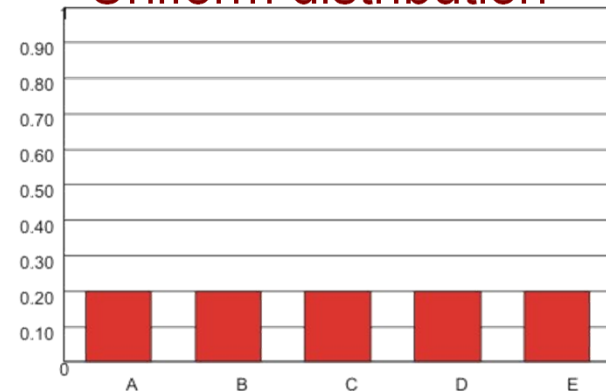
$$H_S(A) = -\sum_{i=1}^n p(x_i) \log_2(p(x_i))$$

$$H_S \in [0, \log_2 |X|]$$

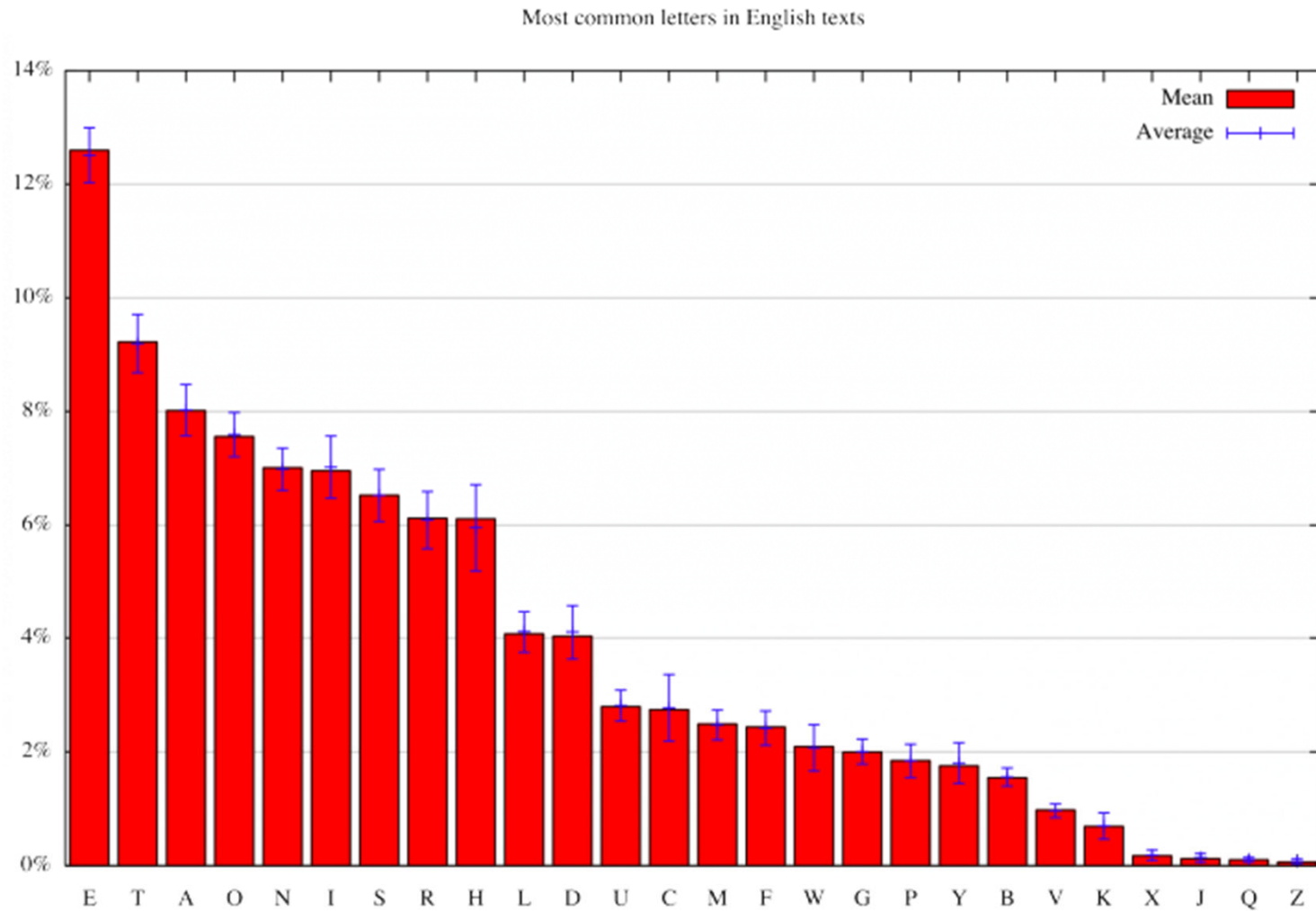
For one alternative



Uniform distribution



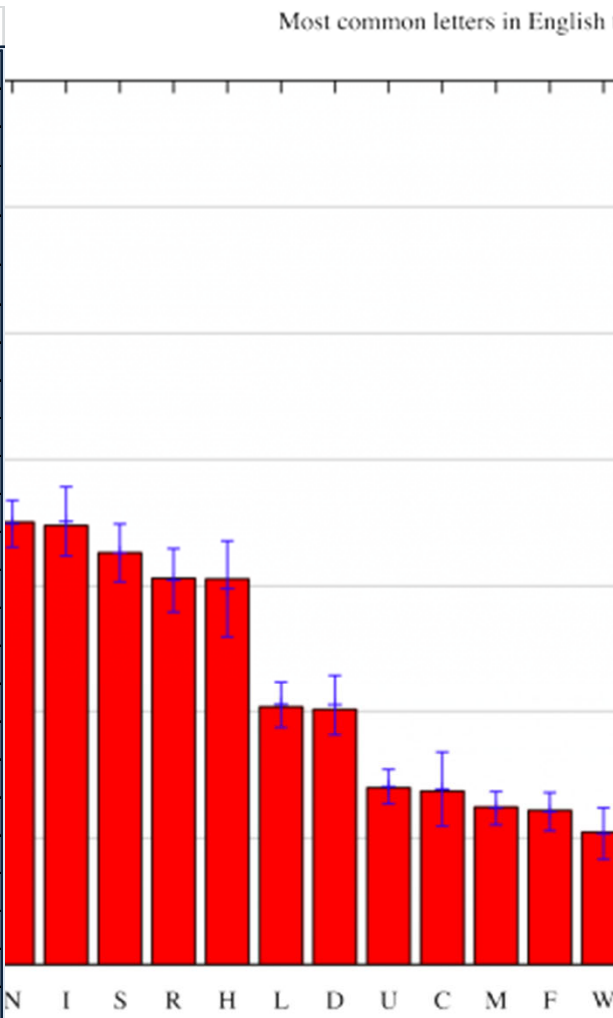
from letter frequency



# english entropy (rate)

from letter frequency

	p(x)	log2(p(x))	-p(x).log2(p(x))
e	0.124167	-3.0096463	0.373698752
t	0.096923	-3.3670246	0.326340439
a	0.082001	-3.6082129	0.295877429
i	0.076805	-3.7026522	0.284382943
n	0.076406	-3.7101797	0.283478135
o	0.07141	-3.8077402	0.271908822
s	0.070677	-3.8226195	0.270170512
r	0.066813	-3.903723	0.260820228
l	0.044831	-4.4793659	0.200813559
d	0.036371	-4.7810716	0.173891876
h	0.035039	-4.8349111	0.169408515
c	0.034439	-4.8598087	0.167367439
u	0.028777	-5.11894	0.147307736
m	0.028	-5.1520629	0.147094755
f	0.023	-5.42629	0.125020629
p	0.020517	-5.6211617	0.114205704
y	0.018918	-5.7240814	0.108289316
g	0.018119	-5.7863688	0.104842059
w	0.013523	-6.2084943	0.083954364
v	0.012457	-6.3269343	0.078812722
b	0.010658	-6.5519059	0.069830868
k	0.00393	-7.9911852	0.031406876
x	0.002198	-8.8294354	0.019409218
j	0.001998	-8.9669389	0.017919531
q	0.000933	-10.066609	0.009387113
z	0.000599	-10.705156	0.006412389
	Entropy	<b>4.14225193</b>	



	p(x)	log2(p(x))	-p(x).log2(p(x))
Space	0.18288	-2.4509943	0.448249175
E	0.10267	-3.2839625	0.337152952
T	0.07517	-3.7336995	0.280662128
A	0.06532	-3.9362945	0.257125332
O	0.06160	-4.0210249	0.247678132
N	0.05712	-4.1298574	0.235897914
I	0.05668	-4.1409036	0.234724772
S	0.05317	-4.2332423	0.225081718
R	0.04988	-4.3254212	0.215748053
H	0.04979	-4.3281265	0.215478547
L	0.044831	-4.4793659	0.200813559
D	0.036371	-4.7810716	0.173891876
U	0.028777	-5.11894	0.147307736
C	0.02234	-5.4844363	0.122504535
M	0.02027	-5.6248177	0.113990747
F	0.01983	-5.6561227	0.112164711
W	0.01704	-5.8750208	0.100104113
G	0.01625	-5.9435013	0.096576215
P	0.01504	-6.0547406	0.091082933
Y	0.01428	-6.1301971	0.087518777
B	0.01259	-6.3117146	0.079456959
V	0.00796	-6.9728048	0.055511646
K	0.00561	-7.4778794	0.041948116
X	0.00141	-9.4709063	0.013346416
J	0.00098	-10.001987	0.009754119
Q	0.00084	-10.222907	0.008554069
Z	0.00051	-10.929184	0.005604998
	Entropy	<b>4.0849451</b>	

Hartley Measure  
 $H(|26|) 4.7004397$

Hartley Measure  
 $H(|27|) 4.7548875$

# entropy and meaning

- entropy quantifies information (surprise), but it does not consider information content
  - semantic aspects of information are irrelevant to the engineering problem in Shannon's conception



$$H_S(A) = -\sum_{i=1}^n p(x_i) \log_2(p(x_i))$$



If you don't wanna see me

Did a full one eighty, crazy  
Thinking 'bout the way I was  
Did the heartbreak change me? Maybe  
But look at where I ended up

I'm all good already  
So moved on it's scary  
I'm not where you left me at all

So if you don't wanna see me dancing with somebody  
If you wanna believe that anything could stop me

Don't show up, don't come out  
Don't start caring about me now  
Walk away, you know how  
Don't start caring about me now

Aren't you the guy who tried to  
Hurt me with the word "goodbye"?  
Though it took some time to survive you  
I'm better on the other side



tlf nnom w ueayso e 'naed

,aa uz y rdi llgitDohyfec ne  
nhbn ygTwtwlsuah ki'e aoti  
edmhír argh? abeDkaetcehatebenMy  
odandw p Buloue elrtkhte e



elldlo  
eost'n  
e tlmt

o y  
m p

we  
y a  
W  
Di

om we"bder  
y mltut hreveuhkomo tvgiti  
ndet e t tsremoitro ebhhel'

entropy according to probabilistic model

0<sup>th</sup> order model: equiprobable symbols

$$H(A) = \log_2 |A|$$

Hartley Measure  
H(|27|) 4.7548875

XFOML RXKHRJFFJUJ ZLPWCFWKCYJ FFJEYVKCQSGXYD QPAAMKBZAACIBZLHJQD

1<sup>st</sup> order model: frequency of symbols

$$H_s(A) = -\sum_{i=1}^n p(x_i) \log_2(p(x_i))$$

H<sub>s</sub> = 4.08

OCRO HLI RGWR NMIELWIS EU LL NBNESBEYA TH EEI ALHENHTTPA OOBTTVA NAH BRL

2<sup>nd</sup> order model: frequency of digrams

Most common *digrams*: th, he, in, en, nt, re, er, an, ti, es, on, at, se, nd, or, ar, al, te, co, de, to, ra, et, ed, it, sa, em, ro.

ON IE ANTSOUTINYS ARE T INCTORE ST BE S DEAMY ACHIN D ILONASIVE TUCOOWE AT TEASONARE FUSO TIZIN ANDY TOBE SEACE CTISBE

3<sup>rd</sup> order model: frequency of trigrams

Most common *trigrams*: the, and, tha, ent, ing, ion, tio, for, nde, has, nce, edt, tis, oft, sth, men

IN NO IST LAT WHEY CRATICT FROURE BERS GROCID PONDENOME OF DEMONSTURES OF THE REPTAGIN IS REGOACTIONA OF CRE

4<sup>th</sup> order model: frequency of tetragrams

H<sub>s</sub> = 2.8

THE GENERATED JOB PROVIDUAL BETTER TRAND THE DISPLAYED CODE ABOVERY UPONDULTS WELL THE CODERST IN THESTICAL IT DO HOCK BOTHE MERG INSTATES CONS ERATION NEVER ANY OF PUBLE AND TO THEORY EVENTIAL CALLEGAND TO ELAST BENERATED IN WITH PIES AS IS WITH THE

including more structure  
reduces surprise



## Other measures

- **Mutual Information**
  - Amount of information about one variable that can be gained (uncertainty reduced) by observing another variable
- **Information Gain (Kullback-Leibler Divergence)**
  - Difference between two probability distributions  $p$  and  $q$ ,
    - average number of bits per data point needed in order to represent  $q$  (model approximation) as it deviates from  $p$  (“true” or theoretical distribution)
- **Transfer Entropy**
  - transfer of information between two random processes in time
    - Amount of information (in bits) gained, or uncertainty lost, in knowing future values of  $Y$ , knowing the past values of  $X$  and  $Y$ .

$$I(X;Y) = \sum_{i=1}^n \sum_{j=1}^m p(x_i, y_j) \log_2 \frac{p(x_i, y_j)}{p(x_i)p(y_j)}$$

$$I(X;Y) = H(X) + H(Y) - H(X, Y)$$

$$IG(p(X), q(X)) = \sum_{i=1}^n p(x_i) \log_2 \frac{p(x_i)}{q(x_i)}$$

$$T_{X \rightarrow Y} = H(Y_t | Y_{t-1:t-L}) - H(Y_t | Y_{t-1:t-L}, X_{t-1:t-L})$$

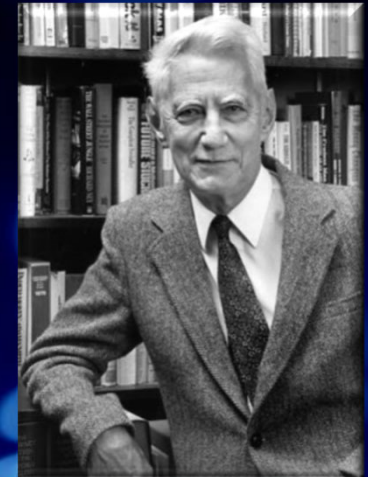
Information as decrease in uncertainty .



$$H(A) = \log_2 |A|$$

Measured in bits

Number of Choices



Hartley, R.V.L., "Transmission of Information", *Bell System Technical Journal*, July 1928, p.535.

$$H_S(A) = - \sum_{i=1}^n p(x_i) \log_2 (p(x_i))$$

Measured in bits

Probability of alternative

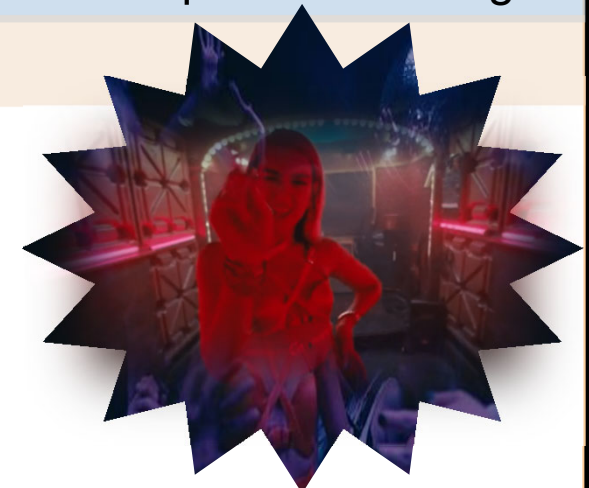
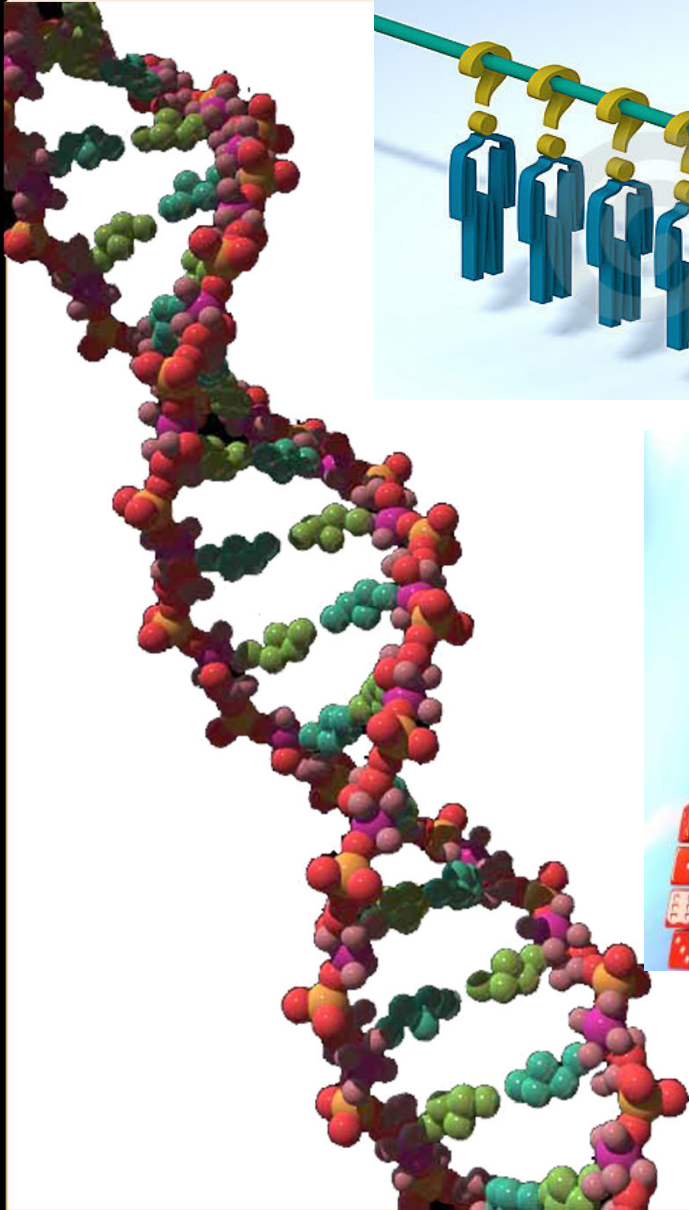
including more structure  
reduces surprise

information is  
surprise

C. E. Shannon [1948], "A mathematical theory of communication". *Bell System Technical Journal*, **27**:379-423 and 623-656

information of sequential messages

rate of removing uncertainty of each symbol



If you don't wanna see me

Did a full one eighty, crazy  
Thinking 'bout the way I was  
Did the heartbreak change me? Maybe  
But look at where I ended up

I'm all good already  
So moved on it's scary  
I'm not where you left me at all

So if you don't wanna see me dancing with  
somebody

“syntactic” surprise But  
what about function and  
meaning (semantics)?

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